

Department of
SOCIAL SERVICES
Community Care Licensing

FACILITY EVALUATION REPORT

Facility Number: 602300055

Report Date: 10/29/2013

Date Signed 01/15/2016 10:44:55 AM

STATE OF CALIFORNIA - HEALTH AND HUMAN SERVICES AGENCY FACILITY EVALUATION REPORT	CALIFORNIA DEPARTMENT OF SOCIAL SERVICES COMMUNITY CARE LICENSING DIVISION CCLD Regional Office, 744 P STREET, MS 8-3-54 SACRAMENTO, CA 95814
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FACILITY NAME: CLARINDA ACADEMY

FACILITY NUMBER: 602300055

ADMINISTRATOR: MIKE BUTT

FACILITY TYPE: 731

ADDRESS:

TELEPHONE:

CITY:

STATE:

ZIP CODE:

CAPACITY: 242

CENSUS: 185

DATE: 10/29/2013

TYPE OF VISIT: Case Management - Other

ANNOUNCED

TIME BEGAN: 10:24 AM

MET WITH: Reggie St. Romain, Mike Butt, Andrea
Yerington

TIME COMPLETED: 02:55 PM

NARRATIVE

1	PURPOSE OF VISIT:
2	As mandated by California law, this visit was performed on the date referenced by the undersigned
3	California Department of Social Services (CDSS) licensing evaluator for the purpose of annual
4	recertification. Annual recertification is performed in order to assure that the facility continues to:
5	
6	• have adequate and appropriate resources to provide safe, suitable 24-hour residential care,
7	supervision and treatment services to youth in care.
8	• remain in substantial compliance with California licensing standards and regulations, as well
9	as licensing standards and laws of the state the facility is located - in this case, the state of
10	Iowa.
11	
12	CERTIFICATION HISTORY; FACILITY INFORMATION AND PROGRAM :
13	
14	Clarinda Academy has been certified by the CDSS since October 23, 2008.
15	
16	Clarinda Academy is a 252-bed residential treatment and educational program for adjudicated and/or
17	at risk male and female youth, age 12 to 17. At the time of this year's visit, the facility census was
18	185. In addition to having youth from Iowa and California in residence, the facility has youth from
19	numerous other states.
20	
21	The program offered emphasizes behavioral change through the establishment of a positive
22	peer/normative culture, intensely scheduled programming, and skill-building activities. Clarinda's
23	behavioral thinking processes focus on the intervention and redirection of negative behavior and
24	recognition of desired/positive behavior. (NOTE: Reference the initial certification report of October
25	23, 2008 for a complete description and overview of Clarinda, its program, purpose, methods and
	goals.)

SUPERVISOR'S NAME: Mei Yuk Kung		TELEPHONE: (916) 327-8763
LICENSING EVALUATOR NAME: Carol Lancaster		TELEPHONE: (916) 838-5751
LICENSING EVALUATOR SIGNATURE:		DATE: 12/12/2013
I acknowledge receipt of this form and understand my licensing appeal rights as explained and received.		
FACILITY REPRESENTATIVE SIGNATURE:		DATE: 12/12/2013

This report must be available at Child Care and Group Home facilities for public review for 3 years.

LIC809 (FAS) - (06/04) Page: 1 of 8

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NARRATIVE

1 CA PLACING AGENCIES:

2 There are currently 41 probation wards and social services dependents from California placed at the
3 facility. California placing agencies include the following in the number indicated:

- 4
- 5 • Alameda County Probation (6)
 - 6 • Imperial County Probation (2)
 - 7 • Imperial County Social Services (1)
 - 8 • Kern County Probation (4)
 - 9 • Marin County Probation (1)
 - 10 • Riverside County Probation (5)
 - 11 • Sacramento County Probation (8)
 - 12 • Sacramento County Social Services (1)
 - 13 • San Joaquin County Probation (5)
 - 14 • San Joaquin County Social Services (1)
 - 15 • Santa Clara County Probation (2)
 - 16 • Sonoma County Social Services (4)
 - 17 • Stanislaus County Probation (1)
- 18

19 IOWA LICENSING INFORMATION

20
21 As part of this certification review, contact with Iowa Licensing and copies of current licenses and
22 licensing reports were collected. Each residential building (known as a hall) on the Clarinda campus is
23 licensed individually as a Community Residential facility by the Iowa Department of Human Services.
24 The name of each hall, their capacity and the effective dates of the current licenses are as follows:

- 25
- 26 • Washington Hall (Capacity 30): 9/1/2013 through 9/1/2014
 - 27 • Jackson Hall (Capacity 32): 5/1/2013 through 5/1/2014.
 - 28 • Kennedy Hall (Capacity 31): 5/1/2013 through 5/1/2016
 - 29 • Jefferson Hall (Capacity 30): 5/1/2013 through 5/1/2016
- 30
31
32

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TELEPHONE: (916) 838-
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LICENSING EVALUATOR SIGNATURE:

DATE: 12/10/2013

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SIGNATURE:**

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LIC809 (FAS) - (06/04) Page: 2 of 8

**STATE OF CALIFORNIA - HEALTH AND HUMAN SERVICES
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**CALIFORNIA DEPARTMENT OF SOCIAL
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COMMUNITY CARE LICENSING DIVISION
CCLD Regional Office, 744 P STREET, MS
8-3-54
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FACILITY NAME: CLARINDA
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FACILITY NUMBER: 602300055

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NARRATIVE

1	<u>IOWA LICENSING INFORMATION</u> (Continued)
2	• Lincoln Hall (Capacity 33): 1/1/2012 through 1/1/2015
3	• Coolidge Hall (Capacity 26): 11/1/2011 through 11/1/2014
4	• Angelou Hall (Capacity 32): 11/1/2011 through 11/1/2014
5	• Parks Hall (Capacity 28): 11/1/2011 through 11/1/2014
6	• Anthony Hall (Capacity 10): 1/1/2013 through 1/1/2016
7	• Regan Hall (Capacity 15): 7/1/2013 through 7/1/2016
8	
9	<u>COMPLAINTS AND INVESTIGATIONS:</u>
10	
11	No formal complaints concerning Clarinda were made to the CDSS during this certification period.
12	
13	Iowa Licensing and local law enforcement conducted numerous visits and investigations over the last
14	year; however, the facility remains in good standing with Iowa Licensing. <i>(Note: This summary is not</i>
15	<i>all inclusive and does not include child abuse allegations or licensing violations determined to be</i>
16	<i>unfounded and/or unsupported or any investigations in progress.)</i>
17	
18	• On or about October 15, 2012, a resident's collarbone was broken during a restraint.
19	Investigation revealed that the staff who performed the restraint utilized an unapproved
20	physical restraint technique. This staff, as well as two others who were witnesses, also
21	participated in a "cover up" of any wrongdoing. Staff involved were immediately placed on
22	administrative suspension pending the outcome of an internal investigation. Internal
23	investigation findings resulted in two of the three staff being terminated. The third staff who
24	played a lesser role, received severe disciplinary action but was not terminated.
25	• During an unannounced visit on June 5, 2013 by Iowa Licensing, the facility was cited for
26	some employee record deficiencies (inadequate information relative to documentation of
27	work performance evaluations); and for a staff training related violation (one or more
28	employees not being properly trained in child abuse identification and training.)
29	• A physical restraint occurring June 24, 2013 resulted in a multi-tiered investigation into
30	allegations of assault and/or abuse by a staff member. This investigation occurred after the
31	youth who was the subject of a restraint passed out the following day due to possible head
32	trauma incurred and required emergency hospitalization. Law enforcement opined that an
	assault did not occur; however, the staff who performed the restraint was placed on the Iowa
	Central Abuse Registry by Iowa CPS for "founded" physical abuse.

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COMPLAINTS AND INVESTIGATIONS: (Continued)

- In a restraint on July 12, 2013, the youth being restrained was resistive and hit the Clarinda staff trying to restrain him. In reaction, the staff hit lost his temper and struck the youth three times with his fist. Neither party suffered visible injury and neither wished to press charges. The staff was remorseful afterwards and forthwith in admitting his reaction was wrong. The staff was nevertheless terminated. No licensing violations cited.
- In September 2013, Iowa Licensing conducted an investigation and cited deficiencies related to a runaway incident occurring September 5, 2013. In that incident, one client attempted to escape from the window of her third floor dorm room using bed sheets tied together. However, once outside her window, she fell all the way to the ground seriously injuring herself. During the commotion, another youth who was conspiring with her successfully eloped by running out of the unit. Deficiencies cited were related to staff hearing rumors the day before of the runaway plan and failing to share this information with any other facility staff.

FACILITY, PHYSICAL PLAN AND PROGRAM CHANGES:

No significant changes.

FIRE INSPECTION / FOOD SERVICE:

It should be noted that Clarinda Academy's buildings and grounds is leased space located within the Clarinda Treatment Complex owned by the State of Iowa. Much of the maintenance as well as the food service is provided by Complex employed staff.

Clarinda was last inspected and certified to meet fire safety rules, regulations and standards by an official with the Iowa Department of Public Safety, Fire Marshall's Division on May 30, 2013.

Meal preparation and service is conducted out of a main, full service (commercial style) kitchen/cafeteria located on the Clarinda Treatment Complex grounds. The kitchen and cafeteria is owned and operated by the State of Iowa. Other entities on the complex grounds utilize the kitchen as well, however, meal times are staggered and there is no commingling of clients. The kitchen was last inspected by authorities with Western Iowa Regional Inspections on May 13,, 2013. A walk through of the kitchen by this analyst revealed no issues of concern.

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LIC809 (FAS) - (06/04) Page: 4 of 8

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NARRATIVE

1	<u>ACCREDITATIONS:</u>
2	Clarinda Academy clients attend an on-grounds private school (7th thru 12 grade) accredited by the
3	North Central Association Commission on Accreditation and School Improvement, a division of
4	AdvancED. The current accreditation is good through July 30, 2018.
5	
6	Clarinda Academy is accredited by the Joint Commission which has surveyed the organization and
7	found it to meet the requirements for the Behavioral Health Care Accreditation Program.
8	Accreditation was issued on February 19, 2011 and is valid for up to 36 months.
9	
10	Clarinda Academy is also certified by the Iowa Department of Public Health as meeting the
11	requirements to conduct and maintain Juvenile Level I and II.1 Substance Abuse Treatment Services.
12	(Effective June 12, 2011 through February 19, 2014.)
13	
14	<u>SCOPE AND STATUS OF RECERTIFICATION REVIEW:</u>
15	
16	• Entrance interview with Executive Director Reggie St Romain; Group Living Director Mike Butt
17	and Andrea Yerington, Quality Assurance Officer.
18	• Collection of updated and current licensing documents, organizational and program
19	information material.
20	• Discussion on the implementation of CA AB 12 (Fostering Connections to Success Act.)
21	• Sample of client files reviewed.
22	• Sample of personnel files reviewed.
23	• Walking tour of campus and all living halls
24	• Four clients interviewed
25	
26	<u>FINDINGS, CONCERNS, VIOLATIONS:</u>
27	
28	Facility found to be clean, safe, sanitary and in good repair. Services provided meet or exceed CA
29	group home licensing standards.
30	
31	
32	

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NARRATIVE

1 FINDINGS, CONCERNS, VIOLATIONS (Continued)

2 The following are areas that need to be brought into compliance with California Title 22 group home
3 licensing standards:

4 Monthly Restraint Log [Section 84361(f) & (g)]

5
6
7 A monthly log of each use of manual restraints must be maintained by the facility and available for
8 review and/or production to the CDSS upon request during normal business hours.

9 *Note: Restraints include all escorts and re-directions where there is any element of force used or the*
10 *client's free movement is infringed upon.)*

11
12 The log must be available for review and/or production to the CDSS upon request and each incident
13 of manual restraint needs to include the following:

- 14
- 15 • Name (or initials) of each child and identification of placing state/entity.
- 16 • Date and time of the intervention.
- 17 • Duration of the intervention.
- 18 • Identification of the SCM physical hold or restraint technique used.
- 19 • Name(s) of facility staff member(s) who participated in the physical intervention.
- 20 • Result, comments or evidence of administrative review.
- 21

22 Internal Biannual Review of the Use of Emergency (Physical) Interventions

23 Procedures for an internal biannual review of the use of emergency interventions must be developed.
24 Procedures must include at least the following:

- 25
- 26 (1) Review to be conducted by the administrator or the administrator's designee.
- 27 (2) Analysis of patterns/trends of use of emergency interventions in the previous six (6) month
- 28 period, based on:
 - 29
 - 30 a) Review of all records related to the use of emergency interventions for accuracy and
 - 31 completeness.
 - 32 b) Review of the use, effectiveness and duration of each emergency intervention including, a
 - determination of the effectiveness and appropriateness of the intervention technique used in
 - each situation.
 - c) Review of the frequency of emergency interventions in the previous six (6) month period.

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LIC809 (FAS) - (06/04) Page: 6 of 8

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1	<u>FINDINGS, CONCERNS, VIOLATIONS</u> (Continued)
2	(3) Corrective or improvement action plan, if needed.
3	(4) *The biannual review and corrective or improvement action plan must be submitted to the
4	Department no later than the fifth (5th) day of the month following the review.
5	(5)The licensee shall provide a copy of the biannual review and corrective action plan, if applicable, to
6	the authorized representative upon request.
7	
8	Biannual review reports to be provided to CDSS beginning July 5, 2014 (for period January 1 through
9	June 30, 2014) and January 1, 2015 (for period July 1, 2014 through December 31, 2014) and for
10	every six month period thereafter.
11	
12	<u>AB 12 / Non-Minor Dependent Program Addendum</u>
13	
14	Currently, Clarinda's CDSS certification allows for the facility to care for male and female youth ages
15	11 to 17.
16	
17	On January 1, 2012, new interim California licensing regulations went into effect as a result of the
18	passage of California Assembly Bill (AB) 12 passed in 2011.
19	
20	Formerly, foster care benefits and services virtually ended when a child turned 18. In short, AB 12
21	extends benefits in a variety and number of ways to youth who have "aged out." Such youth (termed
22	non-minor dependents or NMDs for short) may now remain in group home care until they complete
23	high school or its equivalent (up to age 19 maximum.) However, in order to continue to provide care
24	for them, the facility must comply with new AB 12 regulations.
25	
26	In July of 2012, CCLD Information Release 2013-02 was mailed to all out-of-state group home
27	providers explaining the new law and instructing that if a group home facility was desirous of
28	retaining and providing services to NMDs a program statement addendum was in order.
29	
30	Although Clarinda Academy did provide a letter to the CDSS indicating it was their intention to retain
31	18 year olds in their care until high school completion of its equivalent, they have not submitted an
32	AB 12 program statement addendum. Until the facility submits an AB 12 program addendum, CDSS
	approval to provide care to NMDs will not be given.

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1 PLAN OF IMPROVEMENT OR CORRECTION:

2 A written plan addressing the aforementioned three areas (Monthly Restraint Log; Biannual Review of
3 Emergency Interventions; AB 12 Program Statement addendum) is expected to be submitted to the
4 CDSS by December 31, 2013.

5
6 CERTIFICATION DECISION:

7
8 Re-certify.

9
10 Recertification may be revisited if the facility fails to properly address the items referenced in the plan
11 of correction above in a timely manner.
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